PTO/SB/21 (6-98) Please type a plus sign (+) inside this box -30/2000. OMB 0651-0031 Trademark Office DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, r d to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/053,183 **TRANSMITTAL** Filing Date 11/09/2001 **FORM First Named Inventor** Kenneth W. Henry et al. (to be used for all correspondence after initial filing) **Group Art Unit Examiner Name Not Yet Assigned** 

Total Number of Pages in This Submission		Attorney Docket Number		170-00-004	
ENCLOSURES (check all that apply)					
Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group
Fee Attached		Drawing(	· (s)		Appeal Communication to Board of Appeals and Interferences
Amendment / Response		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final			Routing Slip (PTO/SB/69) Impanying Petition		Proprietary Information
Affidavits/declarati	on(s)	Petition to Convert to a Provisional Application			Status Letter
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer			Additional Enclosure(s) (please identify below):
Express Abandonment Request					
Information Disclosure Statement		Small Entity Statement  Request for Refund			RECEIVE
Certified Copy of Priority Document(s)		Remarks Enclosed are:		_1	APR 2 3 2002
Response to Missing Parts/ Incomplete Application		- Response to Missing Parts and Copy of Notice to File Missing Parts - Fee Transmittal - Petition to File Application on Behalf of Inventor, two executed Combined Dec. Power			
Response to Missing		of Attorney; and Recordation Cover Sheet and two executed Assignments  - Letter to Draftsman and 5 Formal Drawing Sheets			
Parts under 37 CFR 1.52 or 1.53		- Commentary Re IDS and PTO 1449 IDS with copies of 5 references - Postcard - Statement of Robert Desarval			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm					
or Robert Desmond, Esq., Reg. No.: 38,430					
Signature Relations					
Date 4-15-02					
OFFICIAL OF MAILING					

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

R bert Desmond

Signature

Date

4-15-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Serial No. 10/053,183



PATENT Docket No.: 170-00-004

# IN THE UNITED STATES PATENT TRADEMARK OFFICE

In re application of:

Art Unit: 3661

Kenneth W. Henry, et al.

Serial No.: 10/053,183

Examiner: Not Yet Assigned.

Filing Date: 11/09/2001

For: SYSTEM AND METHOD FOR

PERFORMANCE MONITORING OF

OPTIONAL EQUIPMENT USED WITH MACHINES

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**Box Missing Parts**ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RECEIVED

APR 2 3 2002

OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application (a copy of which is enclosed), dated as mailed on February 19, 2002, and having a period of response extending through and including April 19, 2002, please receive the following documents.

 A Petition to File Application on Behalf of Inventor with Statement of Robert Desmond attached; and two Combined Declaration for Patent Application and Power of Attorney, one each executed by inventors Kenneth W. Henry and Todd Michael Inskeep, respectively;



Serial No. 10/053,183

PATENT Docket No.: 170-00-004

- a Recordation Cover Form and two Assignments, one each executed by inventors Kenneth W. Henry and Todd Michael Inskeep, respectively;
- a Letter to Draftsman and five sheets of formal drawings;
- a Commentary Regarding Information Disclosure Statement, PTO
   Form 1449, and copies of 5 cited references; and
- a Fee Transmittal authorizing the \$130 fee to cover the surcharge for filing the missing parts, a \$40 fee to cover recordation of the assignment, and a \$130 fee for the Petition to the Commissioner; for a total of \$300 be charged to Deposit Account No. 01-1125.

The Commissioner is authorized to charge a total fee of \$300 to Deposit Account No. 01-1125. The Commissioner is further authorized to charge any additional fees or credit any overpayment to Deposit Account No. 01-1125.

In view of the foregoing, it is respectfully submitted that the application is now in condition for examination and is allowable.

In the event the examiner wishes to discuss any aspect of this response, please contact the attorney at the telephone number identified below.

Respectfully submitted,

APR 2 3 2002

OFFICE OF PETITIONS

Date: 4-15.02

By:

Robert Desmond

Attorney Registration No. 38,430

Honeywell International, Inc. Law Dept. AB2 P.O. Box 2245 Morristown, NJ 07962-9806 (602) 365-2588 Attn: Robert Desmond





# United States Patent and Trademark Office

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/053,183

11/09/2001

Kenneth W. Henry

170-00-004

Honeywell International, Inc. Law Dept. AB2 P.O. Box 2245 Morristown, NJ 07962-9806



CONFIRMATION NO. 2849
FORMALITIES LETTER
\*\*CC000000007491157\*

Date Mailed: 02/19/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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APR 2 3 2002

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04/22/2002 CNGUYEN 00000052 011125 10053183

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PATENT Docket No.: 170-00-004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenneth W. Henry, et al.

Serial No.: 10/053,183

Filing Date: 11/09/2001

For:

SYSTEM AND METHOD FOR

PERFORMANCE MONITORING OF

OPTIONAL EQUIPMENT **USED WITH MACHINES** 

Art Unit: 3661

Examiner:

## LETTER TO OFFICIAL DRAFTSMAN

**BOX PG PUB - DRAWINGS** ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

Transmitted herewith is one set of formal drawings (5 sheets) to replace the originally submitted drawing sheets. These formal drawings fully comply with the requirements of 37 CFR 1.84 and M.P.E.P. Section 608.02, and do not introduce any new matter.

While it is understood that there are no fees involved with the submission of these formal drawings, should any fees, however, be required, authorization is herein provided to charge Deposit Account No. 01-1125.

Respectfully submitted,

Date: 4-15-02

Robert Desmond Attorney for Applicant(s)

Reg. No. 38,430

Honeywell International Inc. Law Department AB2 P.O. Box 2245 Morristown, NJ 07962 Telephone: 602-365-4877

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